

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

MAIL DATE CANCELLED
PATENT & TRADEMARK OFFICE
APR 09 2002

SERIAL NO: 09/934,448

APPLICANT: John Bunda

FILING DATE: August 21, 2001

GROUP: 2164

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	FILING DATE IF APPROPRIATE
D.A.	B1	4,674,044	6/1987	Kalmus, et al.			
↑	B2	5,347,452	9/1994	Bay, Jr.			
	B3	5,787,402	7/1998	Potter, et al.			
	B4	5,950,176	9/1999	Keiser, et al.			
	B5	6,014,643	1/2000	Minton			
	B6	6,016,483	1/2000	Rickard, et al.			
	C1	6,260,025	7/2001	Silverman, et al.			
✓	C2	6,006,206	12/1999	Smith, et al.			
D.A.	C3	5,987,432	11/1999	Zusman, et al.			

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APR 10 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION. YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

D.A.	B7	Press Release, "3DStockCharts.com Teams Up With ECN Industry Leader," October 1999, pp. 1-2.					
D.A.	B8	"Real Tick III Tutorial," © 1999 The Momentum Trader and Swingtrader.net, 6 pgs.					
D.A.	B9	"Using the Order Entry Screen in Real Tick III," January 1999, pp. 1-2.					
D.A.	AA	Stand & Poor's ComStock, "Xpresso," 5 pages, © 1998 Standard & Poor's ComStock					

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)

List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DOCKET NO: 5226-02600

SERIAL NO: 09/934,448

MAIL DATE CANCELLED

APPLICANT: John Buns

FILING DATE: August 21, 2001

GROUP: 2164

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
0.1A	C4	5,966,531	10/1999	Skeen, et al.			
↑	C5	5,924,083	7/1999	Silverman, et al.			
	C6	5,924,082	7/1999	Silverman, et al.			
	C7	5,727,165	3/1998	Ordish, et al.			
	C8	5,195,031	3/1993	Ordish			
	C9	5,136,501	8/1992	Silverman, et al.			
	C10	5,077,665	12/1991	Silverman, et al.			
	C11	5,034,916	7/1991	Ordish			
0.1A	C12	5,003,473	3/1991	Richards			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION. YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

0.1A	C13	"gr8trade from Protrader User's Manual," Protrader Securities Corporation, pp.1-69.; 3/2000					
0.1A	C14	Abell, et al.; "The Sixth Market: The Electronic Investor Revolution;" 12/2000					

EXAMINER:

DATE CONSIDERED:

6/29/86

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
6-18	C15	4,750,135	6/1988	Boilen			
6-18	C16	4,745,559	5/1988	Willis, et al.			
6-18	C17	6,278,982	8/2001	Korhammer, et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION. YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

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DATE CONSIDERED:

6/29/06

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Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DOCKET NO: 5226-02600			SERIAL NO: 09/934,448		
			APPLICANT: John Bunda					
			FILING DATE: August 21, 2001			GROUP: 2164		
U.S. PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
02	D1	6,317,728 B1	11/13/01	Kane				
00	D2	5,819,238	10/06/98	Fernholz				
02	D3	5,640,505	06/17/97	Hearn et al.				
FOREIGN PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
02	D4	International Search Report, PCT/US02/16267, mailed August 20, 2002.						
EXAMINER: <i>kgj/tc</i>				DATE CONSIDERED: <i>6/19/02</i>				
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of
Invention

METHODS AND SYSTEMS FOR SUPPRESSION OF
STALE OR INVALID DATA IN A SECURITIES QUOTATION
DISPLAY

Application Number: 09/934448
Confirmation Number: 7045
First Named Applicant: John Bunda
Attorney Docket Number: 5226-02600
Art Unit: 2164
Search string: (6477580 or 6421653 or 6446871 or 6247000
or 6272474 or 6173270 or 6321212 or 4566066
or 6505174 or 6195647 or 5101353 or 4942616
or 5915209 or 5999918 or 6112189),pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
6A	1	6477580	2002-11-05	Bowman-Amuah			
↑	2	6421653	2002-07-16	May			
	3	6446871	2002-09-10	Buckley et al.			
	4	6247000	2001-06-12	Hawkins et al.			
	5	6272474	2001-08-07	Garcia			
	6	6173270	2001-01-09	Cristofich et al.			
	7	6321212	2001-11-20	Lange			
	8	4566066	1986-01-21	Towers			
	9	6505174	2003-01-07	Keiser et al.			
	10	6195647	2001-02-27	Matryn et al.			
	11	5101353	1992-03-31	Lupien et al.			
	12	4942616	1990-07-17	Linstroth et al.			
	13	5915209	1999-06-22	Lawrence			
✓	14	5999918	1999-12-07	Williams et al.			
OK	15	6112189	2000-08-29	Rickard et al.			

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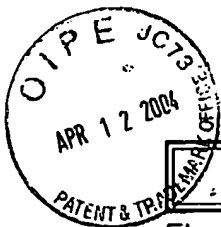
Akintola

Examin r Name

Date

AKINTOLA, OLATUNDE

6/29/86



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of
Invention

METHODS AND SYSTEMS FOR SUPPRESSION OF
STALE OR INVALID DATA IN A SECURITIES QUOTATION
DISPLAY

Application Number: 09/934448
Confirmation Number: 7045
First Named Applicant: John Bunda
Attorney Docket Number: 5226-02600
Art Unit: 2164
Examiner: Unknown Unknown
Search string: (5809483 or 5946666 or 6401105 or 6408282
or 6510419 or 20020188548 or 20020178099 or
20020194111 or 20020194110 or 20030065598
or 20030083974).pn.



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US Patent Documents

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
OK	1	5809483	1998-09-15	Broka et al.			
OK	2	5946666	1999-08-31	Nevo et al.			
OK	3	6401105	2002-06-04	Carlin et al.			
OK	4	6408282	2002-06-18	Buist			
OK	5	6510419	2003-01-21	Gatto			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
OK	1	20020188548	2002-09-15	Bunda	A1		
OK	2	20020178099	2002-11-28	Young	A1		
OK	3	20020194111	2002-12-19	Young	A1		
OK	4	20020194110	2002-12-19	Young	A1		



5	20030065598	2003-04-03	Bunda	A1
6	20030083974	2003-05-01	Bunda	A1

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[Handwritten Signature]

Examiner Name	Date
AKIN TOLA, OLASODE	6/21/06


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Stylesheet Version v18.0

Title of Invention	METHODS AND SYSTEMS FOR SUPPRESSION OF STALE OR INVALID DATA IN A SECURITIES QUOTATION DISPLAY																																																												
<p>Application Number: 09/934448 Confirmation Number: 7045 First Named Applicant: John Bunda Attorney Docket Number: 5226-02600 Art Unit: 2164 Search string: (5193056 or 4752877 or 6415267 or 6029146 or 5297031 or 6035287),pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>04</td><td>1</td><td>5193056</td><td>1993-03-09</td><td>Boes</td><td></td><td></td><td></td></tr><tr><td>0A</td><td>2</td><td>4752877</td><td>1988-06-21</td><td>Roberts et al.</td><td></td><td></td><td></td></tr><tr><td>0D</td><td>3</td><td>6415267</td><td>2002-07-02</td><td>Hagan</td><td></td><td></td><td></td></tr><tr><td>0A</td><td>4</td><td>6029146</td><td>2000-02-22</td><td>Hawkins et al.</td><td></td><td></td><td></td></tr><tr><td>0B</td><td>5</td><td>5297031</td><td>1994-03-22</td><td>Guterman et al.</td><td></td><td></td><td></td></tr><tr><td>0B</td><td>6</td><td>6035287</td><td>2000-03-07</td><td>Stallaert et al.</td><td></td><td></td><td></td></tr></tbody></table> <p>Signature </p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td>AKIN TOLU OLADODE</td><td>6/29/06</td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	04	1	5193056	1993-03-09	Boes				0A	2	4752877	1988-06-21	Roberts et al.				0D	3	6415267	2002-07-02	Hagan				0A	4	6029146	2000-02-22	Hawkins et al.				0B	5	5297031	1994-03-22	Guterman et al.				0B	6	6035287	2000-03-07	Stallaert et al.				Examiner Name	Date	AKIN TOLU OLADODE	6/29/06
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04	1	5193056	1993-03-09	Boes																																																									
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